Acknowledgment for Health
Care Insurance (999)
Version 5010

HIPAA 5010 999 Transactions

Naming Format: "999_input filename_internal file ID.input file extension (if present)_date-time"

Errors found on the 999 are compliance (format) errors identified in the TR3 (Implementation Guide). For more information on how to read the 999 Acknowledgment, please refer to the TR3 (Implementation Guide), or your software vendor.

The following 999 Acknowledgment is an example of an accepted file.
- An 'A' in the AK9 is accepted without errors.
- An 'E' in the AK9 is accepted with errors. This is done in an effort to avoid rejecting the entire file. These errors are reported on either the 999 or the 277CA.
  - If a file is accepted with errors then ensure that both the 999 and 277CA are translated.

Example of a Successful 999 Acknowledgment
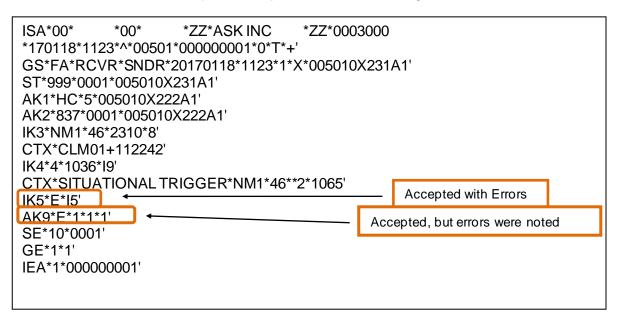
```
ISA*00*        *00*       *ZZ*ASK        *ZZ*0001234
*170411*1351*^*00501*000000001*0*P*+'
GS*FA*BCBSKS*0001234*20170411*1351*1*X*005010X231A1'
ST*999*0001*005010X231A1'
AK1*HC*300000337*005010X223A2'
AK2*837*000000001*005010X223A2'
IK5*A'
AK9*A*1*1*1'               File accepted
SE*6*0001'                 without errors.
GE*1*1'
IEA*1*000000001'
```

The following 999 Acknowledgment provides a sample of the various responses available.
- The IK5 with an E, shows the batch is accepted with errors.
- The E listed in the AK9 indicates the file was accepted with errors.
- If a P is found at the AK9 level, the rejected batches can be found by locating the IK5 with an R.

<u>Example of a Rejected 999 Acknowledgment</u>

```
ISA*00*       *00*       *ZZ*ASK INC     *ZZ*0003000
*170118*1123*^*00501*000000001*0*T*+'
GS*FA*RCVR*SNDR*20170118*1123*1*X*005010X231A1'
ST*999*0001*005010X231A1'
AK1*HC*5*005010X222A1'
AK2*837*0001*005010X222A1'
IK3*NM1*46*2310*8'
CTX*CLM01+112242'
IK4*4*1036*I9'
CTX*SITUATIONAL TRIGGER*NM1*46**2*1065'
IK5*E*I5'                          ← Accepted with Errors
AK9*E*1*1*1'                       ← Accepted, but errors were noted
SE*10*0001'
GE*1*1'
IEA*1*000000001'
```

Note: In the previous examples the term "batch" is used to indicate one ISA through IEA and the term "file" indicates multiple ISA's through IEA's within a transmission.